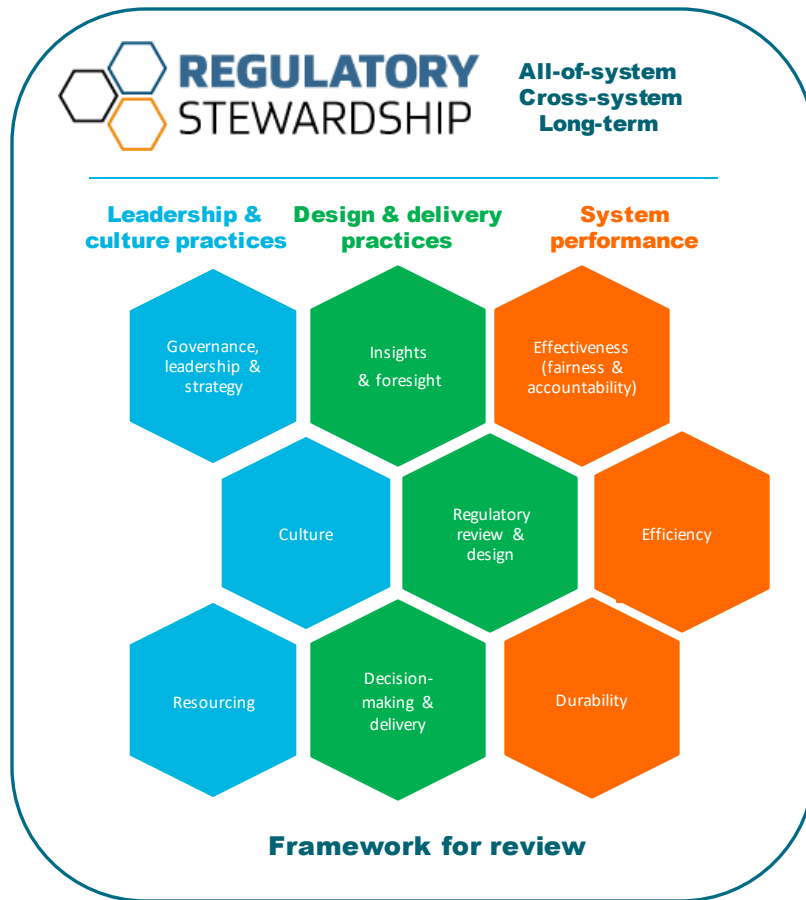


# Who carries out each regulatory function across MBIE's regulatory systems?

■ MBIE ■ Other agencies & bodies ■ Crown entities and statutory bodies associated with MBIE

	POLICY	OPERATIONAL POLICY/ SERVICE DESIGN	SERVICE DELIVERY	EDUCATION AND INFORMATION	COMPLIANCE AND ENFORCEMENT	MONITORING AND EVALUATION	STANDARD SETTING	DISPUTE RESOLUTION
ACCIDENT COMPENSATION		ACCIDENT COMPENSATION CORPORATION				TREASURY		ACCIDENT COMPENSATION APPEAL AUTHORITY
	MBIE					MBIE		PRIVACY PROTECTION, INFORMATION COMPLAINTS & REVIEW AUTHORITY, AND TALK HEED RESOLVE
BUILDING		COUNCIL BUILDING CONSENT AUTHORITY FORUM			BOARD OF REGULATING BUILDING PROFESSIONS			WEATHERIGHT HOMES TRIBUNAL
								ADJUDICATORS UNDER CONSTRUCTION CONTRACTS ACT
COMMUNICATIONS MARKETS		NZ TELECOMMUNICATIONS FORUM				NZ TELECOMMUNICATIONS FORUM		TELECOMMUNICATIONS DISPUTE RESOLUTION SERVICE
		COMMERCE COMMISSION			COMMERCE COMMISSION			UTILITIES DISPUTES
COMPETITION		MBIE						
		COMMERCE COMMISSION						
CONSUMER AND COMMERCIAL		COMMERCE COMMISSION						
		MBIE						
CORPORATE GOVERNANCE		TAKERS PANEL			FINANCIAL MARKETS AUTHORITY			
		MBIE						
EMPLOYMENT RELATIONS AND STANDARDS		EXTERNAL REPORTING BOARD					EXTERNAL REPORTING BOARD	
					EMPLOYMENT COURT			EMPLOYMENT COURT
ENERGY MARKETS		ELECTRICITY AUTHORITY						UTILITIES DISPUTES
		ENERGY EFFICIENCY AND CONSERVATION AUTHORITY (EECA)				EECA		ELECTRICITY BILLINGS PANEL
FINANCIAL MARKETS CONDUCT		GAS INDUSTRY COMBINE						GAS BILLINGS PANEL
		COMMERCE COMMISSION			COMMERCE COMMISSION			
HEALTH AND SAFETY AT WORK		FINANCIAL MARKETS AUTHORITY						
		COMMISSION FOR FINANCIAL CAPABILITY					MBIE	INSURANCE AND FINANCIAL SERVICES OMBUDSMAN
HOUSING AND TENANCY		WORKSAFE NEW ZEALAND				MBIE		BANKING OMBUDSMAN
		MARITIME NZ AND CIVIL AVIATION AUTHORITY						
IMMIGRATION		MINISTRY OF HOUSING AND URBAN DEVELOPMENT				MINISTRY OF TRANSPORT		FINANCIAL SERVICES DISPUTE RESOLUTION SCHEME
			MINISTRY OF SOCIAL DEVELOPMENT					
INTELLECTUAL PROPERTY		MINISTRY OF HOUSING AND URBAN DEVELOPMENT						
								TENANCY TRIBUNAL
OUTER SPACE AND HIGH ALTITUDE ACTIVITIES		NZ CUSTOMS			IMMIGRATION & PROTECTION TRIBUNAL			MBIE
					IMMIGRATION ADVISORS COMPLAINTS AND DISCIPLINARY TRIBUNAL			
PETROLEUM AND MINERALS		IMMIGRATION ADVISORS AUTHORITY						IMMIGRATION & PROTECTION TRIBUNAL
		MBIE						
STANDARDS AND CONFORMANCE		MBIE						
					MEASUREMENT STANDARDS LAB	ACCREDITATION COUNCIL		
TRADE		ACCREDITATION COUNCIL				MBIE		
		MBIE	NZ CUSTOMS		MBIE			WORLDWIDE REVIEW SYSTEM OF AUSTRALIA AND NEW ZEALAND
				MFAT				
		NZ CUSTOMS AND ENVIRONMENTAL PROTECTION AUTHORITY						

# How we talk about Regulatory Stewardship: 9 components



## 2014 Stewardship begins at MBIE

- State Sector Act amended to include stewardship (2013)
- Productivity Commission reports on regulatory practices and institutions



### Director Regulatory Systems position created

Work begins on defining  
regulatory systems,  
developing charters and  
assessing systems

Regulatory stewardship focus is on the performance of 8 functions within a regulatory system:

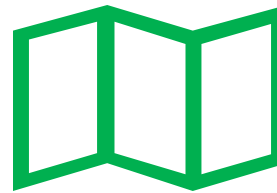
- Policy
- Monitoring and evaluation
- Compliance and enforcement
- Delivery
- Operational policy/service design
- Education and information
- Standard setting
- Dispute resolution

## 2015 Establishing some foundations



**Business coordinator  
position created to work  
with Director, Regulatory  
Systems**

First Regulatory  
Systems Amendment  
Bill (RSAB) starts



## 2016 Stewardship gains momentum

Requirement from Treasury to report

Fitness -for-purpose assessments introduced

Reported publicly on MBIE's website



Government Centre for Dispute Resolution (GCDR) established

Stewardship team grows to 3

MBIE's first regulatory stewardship strategy published

RSAB 2 policy work begins

**Government  
Centre for  
Dispute  
Resolution**

Cabinet direction establishes GCDR to:

- Provide a one-stop shop to support all government dispute resolution regimes
- Reduce inconsistency in approaches and duplication of effort across government dispute resolution systems
- Consolidate knowledge of government dispute resolution
- Plan for the future of government dispute resolution
- Develop dispute resolution best practice principles and guidance

[www.mbie.govt.nz/aotearoabestpracticedrframework](http://www.mbie.govt.nz/aotearoabestpracticedrframework)

Slido #asset

## 2017-18 Stewardship team expands

RSAB 1 becomes law

RSAB 2 in preparation

Goal established to be a World Leading  
Regulatory Steward (2017)

Six objectives to be achieved by 2022

Set up internal governance body focused on  
regulatory stewardship

Focus on uptake of G-REG Level 3 qualification

Experiments around joint assessment groups

Stewardship team  
grows to 5



2019: More investment in  
stewardship

Regulatory Stewardship Branch  
established 1 October – 24 FTE



**GCDR**

**Regulatory Stewardship  
Development**

**Regulatory System  
Assurance**

GCDR builds a dispute resolution  
community

RSAB 2 becomes law

Regulatory system self-review  
tool created



**2020 - 2021**

**Next Steps...**



Piloting dispute resolution standards and capabilities framework

Regulatory System Amendment Bill 3 progresses

Focus on governance as a lever for success – both for regulatory systems and for MBIE